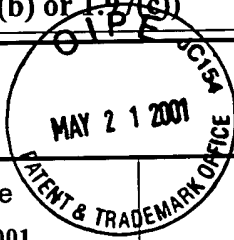


2874

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
113197-006

In Re Application Of: Matsuo, S., et al.



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MAY 23 2001

Serial No.
09/786,855

Filing Date
March 9, 2001

Examiner
Unknown

TO 2200 MAIL ROOM Group Art Unit
2874

Title: **DISPERSION SHIFTED OPTICAL FIBER**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

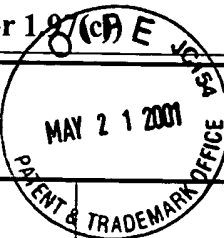
(Under 37 CFR 1.97(b) or 1.97(c) E)

Docket No.
113197-006

In Re Application Of: Matsuo, S., et al.

TC 2000 MAIL ROOM

MAY 23 2001



Serial No.

09/786,855

Filing Date

March 9, 2001

Examiner

Unknown

Group Art Unit

2874

Title: DISPERSION SHIFTED OPTICAL FIBER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.55 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: May 17, 2001

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1190
Chicago, Illinois 60690-1135

CC:



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162300-1001 ROOM

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OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Matsuo, S. et al. / DOCKET NO.: 113197-006
SERIAL NO: 09/786,855 / GROUP ART UNIT: 2874
INT. APP. NO. PCT/JP00/04620 EXAMINER: Unknown
INTERNATIONAL FILING DATE: July 11, 2000
INVENTION: DISPERSION SHIFTED OPTICAL FIBER

#3
105
J. M. C. [unclear]
6/22/01

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

English abstracts for the three Japanese references are enclosed.

Respectfully submitted,

(Reg. No. 37,557)

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